

## Broker Registration Form

### Company Information

Company name	<input type="text"/>
Address	<input type="text"/>
	<input type="text"/>
Post code	<input type="text"/>
Telephone	<input type="text"/>
Website	<input type="text"/>
Firm FCA status	<input type="checkbox"/> Directly authorised <input type="checkbox"/> Appointed rep <input type="checkbox"/> Not Authorised <input type="checkbox"/> NACFB member
FCA number	<input type="text"/>
Network name (if applicable)	<input type="text"/>
Packager name (if applicable)	<input type="text"/>

### Contact details

Main contact first name	<input type="text"/>
Main contact surname	<input type="text"/>
Position in company	<input type="text"/>
Direct Tel	<input type="text"/>
Mobile	<input type="text"/>
Email	<input type="text"/>

### Marketing preferences

Please tick the box(es) as appropriate to confirm that brokers within your firm would like to receive the latest news and information from Oblix Capital Ltd. Any broker can ask us to update their individual preference at any time.

Email  Post  Phone

### Type of business carried out by company

Development Finance  Bridging Finance  Commercial Mortgages  Buy to let

### Bank details (for commission / fee payments)

Bank Name	<input type="text"/>		
Account name	<input type="text"/>		
Account Number	<input type="text"/>		
Sort Code	<input type="text"/>	<input type="text"/>	<input type="text"/>

# Terms of business

These terms of business constitute the agreement (the agreement) between us (Oblix Capital Ltd) and you (the firm named in the attached form). By signing the declaration at the bottom of this agreement, you will be accepting these terms of business on behalf of your firm.

## 1. Definitions

The following definitions apply in the agreement throughout for ease of reference:

- 1.1 Applicant means a person you introduce to us and application means their application to us for a loan and/or request for a decision in principle in respect of such a loan.
- 1.2 We, us and our means Oblix Capital Ltd.
- 1.3 You and your means the firm and/or the person(s) signing these terms of business.
- 1.4 Transaction means a loan, secured on a property either in England, Scotland or Wales made by us to an applicant.
- 1.5 Commission means the fee payable by us to the firm.
- 1.6 Product means any product or service available from us.

## 2. Introduction of Applicants

- 2.1 You accept that:
  - 2.1.1 We may amend or withdraw a product without notice
  - 2.1.2 We may contact the applicant directly. However, we would normally make such contact through you, before completion.
  - 2.1.3 It is a criminal offence to knowingly provide incorrect or misleading information in order to obtain a loan.
  - 2.1.4 We may withdraw your introducer status without notice where appropriate.
  - 2.1.5 We will pay commission to the firm on the following basis:
    - 2.1.5.1 On each transaction which results directly from your introduction of an applicant to us, at a rate agreed between the parties for each transaction.
    - 2.1.5.2 Commission will be paid by direct transfer to the firm's bank account as nominated by you no later than 7 days after completion of a transaction.
    - 2.1.5.3 We reserve the right to amend or vary the basis of commission payment from time to time. We would, however, give you notice of any such amendments.
  - 2.2 You will disclose to us all information you know about an applicant. You will inform us as soon as reasonably practicable of any changes to the information you have provided to us regarding an applicant.
  - 2.3 You will not provide us with any information about an applicant, submit an application, or ask us to undertake a credit search without the applicant's prior consent and when requested by us, provide all original supporting documentation relating to any application introduced to Oblix Capital Ltd within 5 working days.
  - 2.4 You will maintain all legal and regulatory licenses, authorisations, consents, registrations and similar things required for the lawful and proper operation of your business, and the maintaining of proper notifications under the General Data Protection Regulation (GDPR) (EU 2016/679). You will immediately notify us in writing with full details of any subsequent changes.
  - 2.5 Oblix Capital is a non-regulated lender and will therefore only accept non-regulated business. It will not accept any business that is deemed regulated; defined by chapter XV of Financial Services and Markets Act 2000 (regulated activities) Order 2001.

## 3. Data Protection

- 3.1 The firm and us are joint data controllers for the purposes of processing an application and the firm is registered with the Information Commissioner as Data Controllers and paid the requisite fee. Oblix Capital Ltd and you will process all personal data in accordance with General Data Protection Regulation (GDPR) (EU 2016/679).
- 3.2 We may, as part of our service, inform you about products and services which maybe considered of interest to you.
- 3.3 We may monitor and record telephone calls or emails to prevent or detect crime, for service quality or security purposes.
- 3.4 The GDPR gives you the right to access information held about you. Under the GDPR, you have the right to request, for data that Oblix Capital Ltd holds about you. This is also known as a data subject access request (DSAR) to make a DSAR please email: [operations@oblixcapital.com](mailto:operations@oblixcapital.com) or alternatively write to the Data Protection Officer: 3rd Floor, The Place, 175 High Holborn, London, WC1V 7AA.

## 4. Anti-Money Laundering

The firm must have seen the originals of copy documents provided as evidence of identity and address if you are certifying them as true copies of the originals.

## 6. Termination

- 6.1 This agreement may be terminated:-
  - 6.1.1 by either party upon giving not less than thirty days' prior written notice to the other;
  - 6.1.2 forthwith by either party if the other commits any material or continuing breach of any term of this agreement
  - 6.1.3 forthwith by either party if the other party shall have any necessary licenses or authorisations (including authority or permission granted to it by the Financial Conduct Authority or other Governmental body) suspended, withdrawn or varied in such a manner as materially affects in any way that party's ability to meet its obligations under this agreement;
  - 6.1.4 forthwith by either party where one party has reasonable grounds for suspecting fraud, or dishonesty by the other party, a member of its staff or its agents, or any independent contractors engaged by the other party;
  - 6.1.5 forthwith by either party if the other shall convene a meeting of its creditors or if a proposal shall be made for the other's voluntary arrangement within Part 1 of the Insolvency Act 1986 or a proposal for any other composition scheme or arrangement with (or assignment for the benefit of) its creditors or if the other shall be unable to pay its debts within the meaning of section 123 of the Insolvency Act 1986 or if a trustee receiver, administrative receiver or similar officer is appointed in respect of all or any part of the business or assets of the other or if a petition is presented or a meeting is convened for the purpose of considering a resolution or other steps are taken for the winding up of the other or for the making of an administration order, or;
- 6.2 Termination of this agreement shall be without prejudice to any rights or obligations which shall have accrued prior to such termination.

## 7. Law & Jurisdiction

The formation, construction and performance of this agreement (and any dispute of whatever nature arising out of or in any way relating to it) shall be governed by and construed in accordance with English Law and each of the parties here to irrevocably submits to the exclusive Jurisdiction of the English courts as appropriate.

I accept these terms of business	<input type="checkbox"/>
Signature	
Print Name	
Position	
Date	

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If you have any questions relating to this form, please don't hesitate to contact us on **0207 495 1927**

Save and send your enquiry form to:  
[sales@oblixcapital.com](mailto:sales@oblixcapital.com)

Oblix Capital, 3rd Floor, The Place 175 High  
Holborn, London, WC1V 7AA